

AP5. APPENDIX 5

CONGRESSIONAL REPORTS AND DSCA REPORTS CONTROL SYSTEM

AP5.1. PURPOSE

This appendix lists the statutory reports on Security Assistance submitted to Congress by the Department of Defense (DoD). It does not include Security Assistance reports submitted to Congress by the Department of State (DoS). A list of reports required under the Defense Security Cooperation Agency (DSCA) Reports Control System is also included. The inclusion of a report in either of these listings does not in any way imply its availability to a Security Assistance Management Manual (SAMM) user or its releasability to a non-Government requestor. Some reporting requirements are identified elsewhere in this Manual in the related subject area. This appendix also includes reporting instructions on selected reports, not covered elsewhere in this Manual, requiring feeder information from the DoD Components.

AP5.2. CONGRESSIONAL REPORTS

Table AP5.T1. lists the statutory reports on Security Assistance submitted to Congress by the Department of Defense.

AP5.3. DSCA REPORTS CONTROL SYSTEM

The DSCA Reports Control System is a database of reports required within DSCA that is managed by the DSCA (Business Operations Directorate). Requests for new reporting requirements are directed to that office. A Reports Control Symbol (RCS) is assigned according to the nature of the reporting requirement (e.g., Military Assistance Program (MAP), Foreign Military Sales (FMS), a combination of programs, or a one-time report). Figure AP5.F1. contains the DSCA Reports Control System request with preparation instructions. Table AP5.T2. lists the current reports in the DSCA Reports Control System.

AP5.4. EXCESS DEFENSE ARTICLES (EDA) SOLD UNDER FMS (RCS: DSCA(Q)1118)

AP5.4.1. House Report No. 96-70 on the International Security Assistance Act of 1979 requires a quarterly report of all accepted and outstanding Letters of Offer and Acceptance (LOAs) to sell EDA through FMS to foreign Governments or international organizations, which specifies Implementing Agency; country; case number; acquisition cost to the U.S. Government (USG); and sales value. The report includes spare parts supplied from defense stocks at inventory price if they are specifically identified as EDA in the LOA, and excludes grants or sales of: MAP redistributable and MAP-Owned Materiel (MAPOM) property, ships, scrap, and demilitarized EDA. Implementing Agencies are encouraged to initiate separate cases for EDA; however, if separate cases are not used, each case will identify the EDA item(s) therein.

AP5.4.2. The report is prepared quarterly as of the last day of the last month of the quarter and is due to the DSCA (Business Operations Directorate) by the 15th of the following month. DSCA (Programs Directorate) is designated as the coordinating office for all EDA sold under FMS in addition to prevailing coordination currently required in this Manual for FMS cases. See Figure AP5.F2. for the sample report format.

Table AP5.T1. Security Assistance Statutory Reports Submitted to Congress
By the Department of Defense

Report Item		Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
1	Notification to Congress of defense articles, services, education & training provided under unforeseen emergency or national interest drawdown authority	Sec. 506(b)(2), Foreign Assistance Act (FAA) of 1961 (codified as amended at 22 U.S.C. 2318(b)(2))	SHR, Pres. of Senate, HFAC, SFRC, HAC, SAC	Any provision of aid under Sec.506, FAA of 1961; at least monthly	DSCA (Business Operations Directorate/ Administration and Management Division)
2	U.S. Armed Services Personnel Assigned to SCOs:				
	(a) Presidential waiver of Congressional limitation of six armed forces personnel in country	Sec. 515(c)(1), FAA of 1961 (codified as amended at 22 U.S.C. 2321i(c)(1))	HFAC, SFRC	30 days prior to introduction of additional personnel	DSCA (Business Operations Directorate/ Comptroller Division)
	(b) Notification of increase in U.S. armed forces personnel over levels indicated in the Congressional Budget Justification (CBJ) for the fiscal year in which the increase occurs	Sec. 515(c)(2), FAA of 1961 (codified as amended at 22 U.S.C. 2321i(c)(2))	HFAC, SFRC	30 days prior to introduction of additional personnel	DSCA (Business Operations Directorate/ Comptroller Division)
3	Notification of grant transfer of excess defense articles (EDA) (See also Item #11)	Sec. 516(f), FAA of 1961 (codified as amended and restated at 10 U.S.C. 2321j(f))	HAC, SAC, SFRC, HFAC	30 days prior to transfer of defense articles that are significant military equipment (SME) or EDA valued at \$7 million or more in original acquisition value	DSCA (Programs Directorate/ Weapons Division)
4	Notification of program changes (dollar levels)	Sec. 634A, FAA of 1961 (codified as amended at 22 U.S.C. 2394-1) Sec. 515, Annual Foreign Operations, Export Financing and Related Appropriations Act (codified as amended at 22 U.S.C. 2321i)	HFAC, SFRC, HAC, SAC	15 days in advance of obligation of funds not justified, or in excess of amounts justified to the Congress for obligation under the FAA and the Arms Export Control Act (AECA)	DSCA (Operations Directorate) Country Desk Officers, DSCA (Legislative and Public Affairs Office (LPA))

Report Item		Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
5	Annual Military Assistance Report: Aggregate dollar value and quantity of defense articles (including excess defense articles), defense services, and International Military Education and Training (IMET) authorized or furnished to foreign countries	Sec. 655(b), FAA of 1961 (codified as amended at 22 U.S.C. 2414)	SHR, Pres. of Senate	NLT 1 February each year	DSCA (Business Operations Directorate/ Administration and Management Division) for items under FAA, Chapters 2, 5, and 6 and AECA, Chapter 2
6	Availability of funds for Non-North Atlantic Treaty Organization (NATO) countries participating in Partnership for Peace	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act, Title III, Foreign Military Financing (FMF) Heading	HAC, SAC	15 days in advance of making funds available	DSCA (Operations Directorate) Country Desk Officers
7	FMF Loan Subsidy/FMF Grant Funds used to supplement each other.	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act, Tide III, FMF Heading	HAC, SAC	15 days in advance	DSCA (Business Operations Directorate/ Administration and Management Division)
8	FMS Administrative Fund Obligation Ceiling Cap	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act, Tide III, FMF Heading	HAC, SAC	15-day prior notification required to exceed cap	DSCA (Business Operations Directorate/ Comptroller Division)
9	Program Content Notification	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act, (Sec.515, first proviso)	HAC, SAC	15 days in advance of commitment of loan or grant funds appropriated for purposes of Sec.23 of the AECA for provision of MDE or major defense items not previously justified, or at 20% in excess of quantity previously justified	DSCA (Operations Directorate) Country Desk Officers

Report Item		Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
10	Special notification required for Colombia, Haiti, Honduras, Liberia, Pakistan, Serbia, Democratic Republic of Congo, and Sudan	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act, (Sec.520, first proviso)	HAC, SAC	15 days in advance of obligation or expenditure of FY 1999 funds	DSCA (Operations Directorate) Country Desk Officers
11	Sales of Excess Defense Articles	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act	HAC, SAC	15-day advance notification	DSCA (Programs Directorate/ Weapons Division)
12	Foreign Military Sales to Haiti	Annual Foreign Operations, Export Financing and Related Programs Appropriations Act, (Sec.562)	HAC, SAC	15 days prior notification	DSCA (Operations Directorate) Country Desk Officers
13	Sale of U.S. Prepositioned War Reserve Stocks, POMCUS, or Prepositioned Material Configured to Unit Sets, as decrement stock to non-NATO purchasers	10 U.S.C. 2390	SHR, Pres. of Senate, [HASC, SASC] 1/	No later than (NLT) 60 days after Presidential determination of an "international crisis", a report on plan to replenish stocks	DSCA (Operations Directorate) Country Desk Officers in conjunction with USD(AT&L)
14	Report on NATO acquisition of non-interoperable major weapons systems to be used by U.S. forces in Europe under the terms of the North Atlantic Treaty	10 U.S.C. 2457(d)	SHR, Pres. of Senate, [HASC, SASC] 1/	NLT February 1st biennially	USD(AT&L)
15	Report on Significant Hostilities or Terrorist Acts	Sec. 21(c)(2), AECA (codified as amended at 22 U.S.C. 2761(c)(2))	SHR, Pres. Pro Tern of Senate	Within 48 hours of existence or change in status of significant hostilities or terrorist acts which may endanger American lives or property	DSCA (Business Operations Directorate/ Comptroller Division) in conjunction with General Counsel, DoD
16	Billing upon delivery from stock with 120-day interest-free period after delivery	Sec. 21(d) (last sentence), AECA (codified at 22 U.S.C. 2761(d))	SHR, Pres. of Senate, [HAC, SAC] 1/	Presidential determination and budget request for emergency funds	DSCA (Operations Directorate) Country Desk Officers
17	Report on FMS Training Standardization Agreements with NATO, Australia, Japan, New Zealand, Israel, and with other countries that are major non-NATO allies	Sec. 21(g), AECA (codified as amended at 22 U.S.C. 2761(g))	SHR, HAC, SAC, HASC, SASC, HFAC, SFRC	U.S. conclusion of any standardization agreement on training for identified purchaser	DSCA (Legislative and Public Affairs Office and Programs Directorate)

Report Item		Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
18	Report on sales from stocks having an adverse impact on the readiness of U.S. Armed Forces	Sec. 21(i), AECA (codified as amended at 22 U.S.C. 2761 (i))	SHR, SASC, SFRC, [HFAC, HASC] 1/	In the event a proposed sale from stocks could have significant adverse impact on combat readiness of U.S. forces	DSCA (Operations Directorate) Country Desk Officers
19	Billing upon delivery for defense articles and services or for payment 120 days after delivery	Sec. 22(b), AECA (codified as amended at 22 U.S.C. 2763(g))	SHR, Pres. of Senate, [HAC, SAC] 1/	Presidential determination and budget request for emergency funds	DSCA (Operations Directorate) Country Desk Officers
20	Notification of cash flow financing arrangements for procurements in excess of \$100 million	Sec. 23(g), AECA (codified as amended at 22 U.S.C.2765)	SFRC, HFAC, HAC, SAC	15 days in advance	DSCA (Operations Directorate) Country Desk Officers
21	Annual Estimate & Justification for Sales Program (CBJ - Foreign Operations)	Sec. 25(a), AECA	SHR, Pres. of Senate, HAC, SAC, [HFAC, SFRC, HASC, SASC, HBC, SBC, CBO] 1/	NLT February 1st of each year	
	(a) The "Javits" Report; covering all sales of major weapons or weapons related equipment for \$7M or more, or of any other weapons or weapons related equipment for \$25M or more, which are considered eligible for approval during the current calendar year. Also an indication of which sales are most likely to result in issuance of an LOA during such year	Sec. 25(a)(1), AECA		Reported separately to DoS (PM) and not part of the CBJ	DSCA (Programs Directorate/ Weapons Division)
	(b) Estimate of total sales and licensed commercial exports	Sec. 25(a)(2), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
	(c) Estimate of aggregate dollar value and quantity of defense articles and defense services, IMET, MAP, credits and guaranties to be furnished in the next fiscal year	Sec. 25(a)(5)(A), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
	(d) Report on Cash Flow Financing	Sec. 25(a)(5)(B), AECA		Reported separately and not part of CBJ	DSCA (Business Operations Directorate/ Administration and Management Division)

Report Item		Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
	(e) Analysis and description of USG services for which reimbursement is provided under Sec. 43(b) or 21(a) of the AECA	Sec. 25(a)(6), AECA		Reported separately and not part of CBJ	DSCA (Business Operations Directorate/ Administration and Management Division)
	(f) Amount of funds in reserve for guaranties	Sec. 25(a)(7), AECA			DSCA (Business Operations Directorate/ Comptroller Division)
	(g) Progress made in the Korean Force Modernization Program	Sec. 25(a)(9), AECA		Reported separately and not part of CBJ	ASD(ISA)
	(h) Status of each loan and contract of guaranty or insurance	Sec. 25(a)(11), AECA		Reported separately and not part of CBJ	DSCA (Business Operations Directorate/ Comptroller Division)
	(i) Accounting of all forms of assistance furnished and activities undertaken for detection and clearance of landmines	Sec. 25(a)(12), AECA		Reported separately and not part of CBJ	ASD(SOLIC/PK/ HA)
22	Quarterly Report of Security Assistance Surveys	Sec. 26(b), AECA (codified as amended at 22 U.S.C. 2766)	SHR, SFRC	NLT 60 days after end of each quarter. This is included in reports required by Sec.36(a), AECA. See Report Item #26.	DSCA (Business Operations Directorate/ Administration and Management Division)
23	Copies of Security Assistance Surveys	Sec. 26(c), AECA (codified as amended at 22 U.S.C. 2766)	HFAC, SFRC, [SHR] 1/	On request of Chairman, HFAC, or Chairman, SFRC	DSCA (Business Operations Directorate/ Administration and Management Division)
24	Proposed Agreements for Cooperative Projects with Friendly Foreign Countries	Sec. 27(f), AECA (codified as amended at 22 U.S.C. 2767)	SHR, SFRC, SASC, [HFAC, HASC] 1/	NLT 30 days prior to signature of agreement on behalf of USG	DSCA (Operations Directorate) Country Desk Officers
25	Waivers of non-recurring recoupment charges	HGOC Report No. 97-214, 31 July 1981	SHR, SFRC	Included in reports required by Sec. 36(a), AECA. See Report Item #26.	DSCA (Programs and Strategy Directorate)
26	Quarterly Reports on Commercial and Governmental Military Exports:	Sec. 36(a), AECA (codified as amended at 22 U.S.C.2776)	SHR, SFRC	NLT 60 days after end of each quarter	

Report Item	Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
(a) List of unaccepted LOAs valued at \$1M or more for MDE	Sec. 36(a)(1), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
(b) List of all accepted LOAs valued at \$1M or more for MDE; total value of all defense articles and services sold during year	Sec. 36(a)(2), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
(c) Cumulative dollar amounts of FMS direct credit and guaranty agreements made during the fiscal year	Sec. 36(a)(3), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
(d) Dollar amounts of export licenses and approvals for commercially sold defense articles and services sold during the quarter	Sec. 36(a)(4), AECA			DSCA (Business Operations Directorate/ Administration and Management Division) in conjunction with DoS (PM/DDTC)
(e) Dollar amounts of Foreign Military & Construction Sales Agreements concluded during the quarter, projections for remaining quarters (FMCS stated separately)	Sec. 36(a)(5) & (6), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
(f) Estimate of the number of officers and employees of the U.S. Government and of U.S. civilian contract personnel present in each country during the quarter	Sec. 36(a)(7), AECA			DSCA (Business Operations Directorate/ Administration and Management Division) in conjunction with DoS (PM/RSAT)
(g) Payment of political contributions and fees or commissions in connection with sales during the quarter	Sec. 36(a)(8), AECA			DSCA (Business Operations Directorate/ Administration and Management Division) in conjunction with DoS (PM/ODTC)

	Report Item	Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
	(h) Foreign Military Construction Sales (Sec. 29, AECA) made during the quarter	Sec. 36(a)(9), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
	(i) Third-party transfer of defense articles or services whose value (in terms of original acquisition cost) is \$1,000,000 or more	Sec. 36(a)(10), AECA			DSCA (Business Operations Directorate/ Administration and Management Division) in conjunction with DoS (PM/RSAT)
	(j) Transfers to non-DoD USG agencies of munitions list items worth \$250,000 or more	Sec. 36(a)(11), AECA			DSCA (Business Operations Directorate/ Administration and Management Division)
	(k) Report on FMS and DCS Co-production agreements	Sec. 36(a)(12), AECA			DSCA (Business Operations Directorate/ Administration and Management Division) in conjunction with DoS (PM/ODTC)
	(l) See Report Items #22 & 25				
27	Reports of proposed FMS which are: (a) For defense articles or services valued at \$50M or more (\$100M or more for NATO members, Australia, the Republic of Korea, and Japan), or (b) For design and construction services valued at \$200M or more (\$300M or more for NATO members, Australia, the Republic of Korea, and Japan), or (c) MDE valued at \$14M or more (\$25M or more for NATO members, Australia, the Republic of Korea, and Japan)	Sec. 36(b)(1), AECA (codified as amended at 22 U.S.C.2776)	SHR, SFRC, HFAC, HAC, SAC, [HASC, SASC] 1/	15 days before issuance of an LOA to NATO, NATO members, Japan, Australia, the Republic of Korea, or New Zealand, or 30 days before issuance of an LOA to other purchasers	DSCA (Business Operations Directorate/ Administration and Management Division)
28	Report of enhancement or upgrade or sensitivity of technology of items previously notified under Sec. 36(b)(1), AECA	Sec. 36(b)(5)(A), AECA (codified as amended at 22 U.S.C. 2776)	SHR, HFAC, SFRC, SAC, [HASC, SASC, HAC] 1/	45 days before delivery of previously notified item(s)	DSCA (Business Operations Directorate/ Administration and Management Division)

Report Item		Authorizing Legislation	Recipient*	Trigger	Responsible DoD Component
29	Notification of enhancement or upgrade of capability or sensitivity of technology	Sec. 36(b)(5)(C), AECA, (codified as amended at 22 U.S.C. 2776)	SHR, SFRC, [HFAC, HASC, SASC, HAC, SAC] 1/	15/30 days prior to a proposed enhancement or upgrade which meets 36(b)(1) thresholds	DSCA (Business Operations Directorate/ Administration and Management Division)
30	Report on reciprocal no-rent leases	Sec. 61(a), AECA, (codified as amended at 22 U.S.C. 2796)	SAC, HAC, SFRC, HFAC	Two-week notification before entering into or renewing any lease agreement for one year or longer; 15 days for NATO, Australia, Japan, the Republic of Korea, and New Zealand	DSCA (Programs Directorate/ Weapons Division)
31	Report on proposed leases of defense articles	Sec.62, AECA, (codified as amended at 22 U.S.C. 2796a.)	SHR, SFRC, SASC, [HFAC, HASC] 1/	NLT 30 days before entering into or renewing any lease agreement for one year or longer; 15 days for NATO, Australia, Japan, the Republic of Korea, and New Zealand	DSCA (Programs Directorate/ Weapons Division)
32	National security interest determination for DoD direct participation in air shows or trade exhibitions held outside the U.S.	Sec.1082, National Defense Authorization Act, FY 1993, (Public Law 102-484) (10 U.S.C. 113 note)	HASC, SASC, HAC, SAC	45 days prior to the opening of the air show or trade exhibition	DSCA (Programs Directorate/ Weapons Division)

1/ Recipients in brackets are not required by law.

*Abbreviations:

SHR -- Speaker of the House of Representatives

HBC -- House Budget Committee

SBC -- Senate Budget Committee

CBO -- Congressional Budget Office

HAC -- House Appropriations Committee

SAC -- Senate Appropriations Committee

HGOC -- House Government Operations Committee

HASC -- House Armed Services Committee

HFAC -- House International Relations Committee

SASC -- Senate Armed Services Committee

SFRC -- Senate Foreign Relations Committee

Figure AP5.F1. DSCA Reports Control System Request and Instructions (Page 1 of 2)

DSCA REPORTS CONTROL

1.			
NEW REPORT	REVISED REPORT		REPORT SURVEY
2. EXACT TITLE OF REPORT			3. SECURITY CLASSIFICATION
3. REPORTING AGENCIES OR ACTIVITIES			
5. FREQUENCY			6. AS OF DATE
7. DUE DATE			8. PERIOD COVERED BY INITIAL REPORT
9. RECIPIENTS			
10. DESCRIPTION			
11. JUSTIFICATION			
12. IMPLEMENTING DIRECTIVE OR INSTRUCTION (COPY ATTACHED)			
13. ARE FORMS INCLUDED?	YES	NO	IF SO, HOW ARE THEY PROCURED?
14. METHOD OF TRANSMISSION			
15. UNDER WHAT CONDITIONS IS REPORT AUTOMATICALLY CANCELLED?			
16. DIRECTORATE APPROVAL			
17. REQUESTING OFFICE			
18. RESPONSIBLE INDIVIDUAL			
19. REPORTS CONTROL SYMBOL ASSIGNED			
20. APPROVED			21. DATE

Figure AP5.F1. DSCA Reports Control System Request and Instructions (Page 2 of 2)

Block	Instruction
1	Indicate if this is a new report, an update of an old report, or the result of a survey.
2	<u>Exact Title of Report.</u> A brief, descriptive of the report subject, not in conflict with titles of other reports.
3	<u>Security Classification.</u> The highest classification that the data is expected to contain.
4	<u>Reporting Agencies or Activities.</u> Agencies/activities required to report under this RCS.
5	<u>Frequency.</u> Indicate annual, semiannual, quarterly, monthly, etc.; however, do not use bi-weekly, bi-monthly, or bi-annually as these terms tend to be misinterpreted; rather use twice a week, every two weeks, every two months, etc.
6	<u>As of Date.</u> Last day of the reporting period (e.g., the 15th of each month, every Saturday, the last day of each quarter, etc.).
7	<u>Due Date.</u> Date the report is due to recipient by number of days following "as of" date (e.g., 7 days, 30 days, etc.).
8	<u>Period Covered by Initial Report.</u> Indicate the beginning and final date of period covered by first report. This entry is blank when this form is used in connection with a survey of reports.
9	<u>Recipients.</u> Indicate a complete list of recipients of the report.
10	<u>Description.</u> A clear and concise summary of the data to be reported.
11	<u>Justification.</u> A full justification for the report. Sufficient information to permit the reviewer to analyze and understand the need for and specific use to be made of the data and what action can be taken based on the data received.
12	<u>Implementing Directive or Instruction.</u> Indicate the specific reference to the DoD directive/instruction that implements the proposed reporting procedures. If there is no official DoD directive/instruction for the proposed report, indicate the applicable reference number (I number). Include two copies of implementing directive, instruction, and/or implementing memorandum with application.
13	<u>Are forms included?</u> Indicate if specific forms/formats are used in submitting the report. If so, indicate if they are to be supplied, procured, or prepared on an "ad hoc" basis by the reporting agency.
14	<u>Method of Transmission.</u> Indicate method of transmitting report, (e.g., mail, pouch, electronic means, etc.). If transmitted electronically, indicate whether data can be forwarded by other than electronic means during crisis situations when "Minimize" is in effect. Extreme discretion should be used when determining the need for electronic transmission during "Minimize."
15	<u>Under what conditions is report automatically cancelled?</u> Are there any conditions under which the proposed report would be automatically cancelled (i.e., specific period of time, national emergency, etc.).
16	<u>Directorate Approval.</u> Directorate requesting the report and Director's signature.
17	<u>Requesting Office.</u> Indicate office requesting the Reports Control Symbol.
18	<u>Responsible Individual.</u> Indicate the name, room number, and telephone number of the official most knowledgeable in the field of the subject report.
19	<u>Reports Control Symbol Assigned.</u> For new reports, the Reports Control Officer makes this entry; for revised reports and report surveys, please include previously assigned reports control symbol.
20	<u>Approved.</u> Reports Control Officer will fill this in.

Table AP5.T2. Reporting Requirements Under DSCA Reports Control System

Reports Control Symbol (RCS)	Report Title	Reporting Frequency	Reporting Components	Responsible Office
Grant Aid Reports:				
DSCA(AR)1000	Grant Aid (MAP/IMET) Reporting System	As required	All Implementing Agencies	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(M)1004	Identification of Reimbursement for Disposal of MAP Property	Monthly	All Implementing Agencies	DFAS Denver
DSCA(AR)1016	Country and Amount of Increase Over CPD Levels (Section 634(A) of the Foreign Assistance Act of 1961, as amended, and as specified in annual Foreign Operations Appropriations Acts.)	As required	DSCA (Business Operations and Operations Directorates)	DSCA (Business Operations Directorate/ Comptroller Division) & DSCA (Operations Directorate)
Foreign Military Sales Reports:				
DSCA(M)1101	Financial Procedures Applicable to Military Procurement Agreement Between U.S. and Federal Republic of Germany	Monthly	All Implementing Agencies, DFAS Denver	DFAS Denver
DSCA(M)1111	Monthly Report of Disbursements/ Collections Transactions for DSCA	Monthly	DFAS Denver	DFAS Denver
DSCA(Q)1112	Recoupment of Nonrecurring Costs on Sales of USG Products and Technology (DoDD 2140.2)	Quarterly	All Implementing Agencies, DFAS Denver	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1113	FMS Case Listings of Major Defense Equipment (MDE) Valued at \$1 Million or More and Supporting Tables Showing Current Year Activity (Feeder for Section 36(a), Arms Export Control Act (AECA))	Quarterly	All Implementing Agencies	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(AR)1114	FMS Letters of Offer to Sell Defense Articles or Services for \$14 Million of MDE or \$50 Million of Other (Feeder for Section 36(b), AECA)	As Required	All Implementing Agencies	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1118	Excess Defense Articles Sold to Foreign Governments-or International Organizations at Acquisition Cost (Feeder for Section 36(a), AECA; required by H.R. 96-70, March 24, 1979)	Quarterly	All Implementing Agencies	DSCA (Business Operations Directorate/ Administration and Management Division and Programs Directorate/Weapons Division)

Reports Control Symbol (RCS)	Report Title	Reporting Frequency	Reporting Components	Responsible Office
DSCA(A)1121	Number of U.S. Officers and Employees Engaged in Services to Foreign Governments under FMS (Feeder for Section 25(a)(6), AECA)	Annually	All Implementing Agencies	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(A)1128	Consolidated Budget Call -- Foreign Military Sales (FMS) Administrative/ Logistics Support Expenses (LSE) Budget Estimates	Annually	All Implementing Agencies	DSCA (Business Operations Directorate/ Comptroller Division)
DSCA(W)1129	FMS Credit Reporting System	Weekly	DSCA (Business Operations Directorate/Country Financial Management (CFM) Division)	DSCA (Business Operations Directorate/ Country Financial Management Division)
DSCA(Q)1137	Security Assistance Surveys (Feeder for Section 36(a), Arms Export Control Act; required by Section 26(b), AECA)	Quarterly	All Implementing Agencies	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(M)1141	FMS Selected Constructive (Physical) Deliveries	Monthly	All Implementing Agencies	DFAS Denver
DSCA(Q)1146	Unexpired Leases of DoD Property of Any Value (SAMM)	Quarterly	All Implementing Agencies	DSCA(Programs Directorate/Weapons Division)
DSCA(AR)1150	Intensive Financial Management Report	As Required	Army, Navy, Air Force, DFAS Denver, Others as Directed	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1152	Foreign Military Financing (FMF) Overhead Support Activities and SCO Budget Execution Reporting	Quarterly	Army, Navy, Air Force, Combatant Commands	DSCA (Business Operations Directorate/ Comptroller Division)
DSCA(A)1154	Report of FMS Administrative Fund Representational Expenditures	Annually	Army, Navy, Air Force, DFAS Denver	DSCA (Business Operations Directorate/ Comptroller Division)
DSCA(A)1155	Report on Cash Flow Financing (Section 25(a)(5)(B), AECA)	Annually	DSCA (Business Operations Directorate/ Administration and Management Division)	DSCA (Business Operations Directorate/ Administration and Management Division)

Reports Control Symbol (RCS)	Report Title	Reporting Frequency	Reporting Components	Responsible Office
DSCA(Q)1156	Quarterly Report on Third-Party Transfers of Defense Articles or Defense Services Valued at \$1 Million or More (Section 36(a)(10), AECA)	Quarterly	Department of State	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1157	Quarterly Report of Munitions Items Sold, Leased or Transferred by the Department of Defense to Other Federal Agencies Valued at \$250,000 or More (Section 36(a)(11), AECA)	Quarterly	Army, Navy, Air Force, Defense Agencies	DSCA (Business Operations Directorate/ Administration and Management Division)
Other Reports:				
DSCA(AR) 1200 (Formerly DSAA (AR)1100)	Foreign Military Sales Reporting System	As Required	All Implementing Agencies, DFAS Denver	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1204	Export Licenses and Approvals of Commercially Sold Major Defense Equipment	Quarterly	Office of Export Control & Nonproliferation Policy, Department of State	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(A)1215	Congressional Budget Justification (Section 25(a), AECA)	Annually	DSCA (Business Operations and Operations Directorates)	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1218	Military Manpower for SCOs	Quarterly	Combatant Commands	DSCA (Business Operations Directorate/ Comptroller Division)
DSCA(Q)1221	FMS Arrearages	Quarterly	Army, Navy, Air Force, DFAS Denver	DFAS Denver
DSCA(Q)1226	Status of Coproduction Programs Approved Under Security Assistance Program (DoDD 2000.9)	Quarterly	Army, Navy, Air Force	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(Q)1228	Special Defense Acquisition Fund (SDAF) Inventory Report	Quarterly	Army, Navy, Air Force, DLA, NSA	DSCA (Business Operations Directorate/ Comptroller Division)
DSCA(SA)1235	Report of SCO Expenditures for Physical Security	Semi-Annually	Combatant Commands	DSCA (Business Operations Directorate/ Comptroller Division)

Reports Control Symbol (RCS)	Report Title	Reporting Frequency	Reporting Components	Responsible Office
DSCA(A)1236	Annual Report of Motor Vehicle Data (SF 82)	Annually	Combatant Commands	DSCA (Business Operations Directorate/ Comptroller Division)
DSCA(Q)1238	Case Closure Status Report	Quarterly	Army, Navy, Air Force, DLA, DFAS Denver	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(P)1239 (Formerly DD ISA(P)1226)	Roster of Key Security Assistance Personnel Worldwide	Periodically	Army, Navy, Air Force, Marine Corps, Coast Guard, Other Defense Agencies, SCOs, Combatant Commands	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(SA)1240	The Wassenaar Arrangement on Export Controls for Conventional Arms and Dual-Use Goods and Technologies	Semi-Annually	DTRA, JCS, Army, Navy, and Air Force	DSCA (Business Operations Directorate/ Administration and Management Division and Programs Directorate/Weapons Division)
DSCA(A)1241	United Nations Transparency In Armaments (TIA) Registry	Annually	DTRA, JCS, Army, Navy, and Air Force	DSCA (Business Operations Directorate/ Administration and Management Division and Programs Directorate/Weapons Division)
DSCA(A)1242	Drug Interdiction and Counter Drug Activities Report Pursuant to Section 506 of the Foreign Assistance Act, National Defense Authorization Act for Fiscal Year 1999	Annually	Army, Navy, and Air Force	DSCA (Business Operations Directorate/ Administration and Management Division)
DSCA(A)1243	Foreign Military Financing Loans Receivables	Annually	DFAS Denver	DSCA (Business Operations Directorate/ Comptroller Division)

Figure AP5.F2. Report of EDA Sold Under FMS

		(Date - Last Day of Reporting Quarter)			
Report of EDA Sold Under Foreign Military Sales (RCS: DSCA(Q) 1118) (See Notes)					
		<u>FMS Offers Outstanding</u>		<u>Cases Accepted</u>	
		Sales Value	Acquisition Cost to USG	Sales Value	Acquisition Cost to USG
1	Country				
	Case Number				
	Case Number				
	Case Number				
	Case Number				
2	Country				
	Case Number				
	Case Number				
	Case Number				
	Case Number				
	Total (Current Quarter)				
	Cumulative Total (Current FY to Date)				

Note 1: In the FMS Offers Outstanding column include all FMS LOAs issues for EDA that have been neither accepted nor cancelled even if issued prior to the reporting period.

Note 2: When a case moves from the cases offered column to the cases accepted column, record the sales value and acquisition cost only in the cases accepted column.

Note 3: Delete cancelled cases from cumulative totals of subsequent reports and provide countries and case numbers in footnotes of each report immediately following each cancellation.